

## MONEY LAUNDERING PREVENTION POLICY

Original Issue Date:	June 2017
Approver(s):	Board of Directors
Owner(s):	TTCM CAPITAL MARKETS LIMITED
Contact Person:	Chief Executive Officer
Classification:	Money Laundering Prevention Policy
Operational Applicability:	All personnel & Clients
Geographic applicability:	Worldwide
Last Revision Date:	June 2017
Last Reviewed Date:	June 2017
Next Review Date:	June 2018
Version:	1
Other Languages:	N/A

Legal: TradingForex is a trading name of TTCM Capital Markets Limited and is incorporated under the laws of Vanuatu, and registered with the Vanuatu Financial Services Commission ("VFSC") under Company number 40292. TTCM is regulated as under the Dealers in Securities (Licensing) Act (CAP.70) as a Principal by the VFSC.  
For more information, please see our complete list of legal documents.

# TradingForex.com

— INSPIRED BY EXPERTS —

## TABLE OF CONTENTS

SUBJECT	PAGE
1. INTRODUCTION .....	3
2. THE COMPANY'S MONEY LAUNDERING PREVENTION OBLIGATIONS .....	4

Legal: TradingForex is a trading name of TTCM Capital Markets Limited and is incorporated under the laws of Vanuatu, and registered with the Vanuatu Financial Services Commission ("VFSC") under Company number 40292. TTCM is regulated as under the Dealers in Securities (Licensing) Act (CAP.70) as a Principal by the VFSC.  
For more information, please see our complete list of legal documents.

## MONEY LAUNDERING PREVENTION POLICY

- 1.1. **‘TTCM Capital Markets Limited’**, (herein after “TTCM” or “the Company”) is a limited liability Vanuatu Financial Services Company, incorporated under the International Companies Act of Vanuatu and registered with the Vanuatu Financial Services Commission (“VFSC”) under Company number 40292. TTCM is regulated as under the Dealers in Securities (Licensing) Act as a Principal by the VFSC.
- 1.2. The Company is operating in accordance with the Dealers in Securities (Licensing) Act (CAP70) of Vanuatu (the “*Prevention of fraud (investments)*” , as has been amended on 16 June 2017 and/or from time to time and the Act on International Companies of Vanuatu (CAP222), which was implemented in Vanuatu by the *Vanuatu Financial Services Commission*.
- 1.3. As such, the Company is required to comply with *Anti-Money Laundering and Counter-Terrorism Financing Act No. 13 of 2014*, as the same may be in force from time to time and modified or amended from time to time.
- 1.4. Preventing money laundering is a major responsibility and aim of regulatory authorities worldwide. Money laundering allows movement of funds from criminal activities and makes funds available for terrorist activities.

## 2. THE COMPANY'S MONEY LAUNDERING PREVENTION OBLIGATIONS

- 2.1. At the Company, we are committed to preventing any money laundering activities through our services and as such comply with regulatory requirements such as:
  - a. Identifying our Clients;
  - b. Identifying, monitoring and reporting any kind of suspicious transactions;
  - c. Maintaining transaction records for a minimum of five (5) years after the termination of our contractual relationships with our Clients;
  - d. Training our staff to recognize suspicious transactions and to fulfil all reporting obligations;
  - e. Depending on Client location, report any suspicious activities to authorities in several countries.
- 2.2. The Company reserves the right to collect and validate proof of identification from its Clients prior to account opening and any payments of proceeds. In order to verify our identification requirements, please consult the statements on our '**Account Opening Form(s)**'.
- 2.3. Clients should assume that all information provided to the Company is available to the competent regulatory authorities in (a) the country of incorporation of the Company, *i.e.* the Republic of Cyprus; (b) the country of origin of any funds transmitted to the Company; and (c) the destination country of any funds refunded by or withdrawn from the Company.
- 2.4. The Company reserves the right to refuse to process a transfer of funds at any stage if it believes it to be connected in any way to criminal activities or money laundering.
- 2.5. The Company is obliged to report all suspicious transactions and is prohibited from informing Clients in case they have been reported for suspicious account activity. Account misuse may result in criminal prosecution.
- 2.6. The Company does not want your business if your funds are sourced from criminal activities or if the nature of your account transactions is illegal in any manner whatsoever.
- 2.7. The Company reserves the right to review and/or amend its Money Laundering Prevention Policy, at its sole discretion, whenever it deems fit or appropriate.
- 2.8. Our Money Laundering Prevention Policy is a policy only, it is not part of our Terms and Conditions of Business and is not intended to be contractually binding or impose or seek to impose any obligations on us which we would not otherwise have, but for *Anti-Money Laundering And Counter-Terrorism Financing Act No. 13 of 2014*, as the same may be in force from time to time and modified or amended from time to time.
- 2.9. Should you have a question about our Money Laundering Prevention Policy please direct your questions to our Compliance Department: [compliance@traders-trust.com](mailto:compliance@traders-trust.com).

Legal: TradingForex is a trading name of TTCM Capital Markets Limited and is incorporated under the laws of Vanuatu, and registered with the Vanuatu Financial Services Commission ("VFSC") under Company number 40292. TTCM is regulated as under the Dealers in Securities (Licensing) Act (CAP.70) as a Principal by the VFSC.  
For more information, please see our complete list of legal documents.